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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78752

Yuu ISHII, et al.

Appln. No.: 10/760,374

Group Art Unit: 2874

Confirmation No.: 9826

Examiner: Unassigned

Filed: January 21, 2004

For:

OPTICAL FIBER COUPLER AND OPTICAL FIBER THEREFOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. United States Patent Application Publication No. 2002/0136508-A1, published September 26, 2002.
- 2. European Patent Publication No. 0 577 259 A1, published January 5, 1994.
- 3. European Patent Publication No. 1 056 170 A2, published November 29, 2000.

 One copy of each of the listed documents is submitted herewith, a European search report issued in the corresponding European Patent Application, dated May 25, 2004 citing such documents.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/760,374

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date; (2) Before the mailing date of the first Office Action

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein

Registration No. 25,665

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: August 5, 2004

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	Substitute for Form 1449 A & B/PTO	1.	Complete if Known		
			Application Number	10/760,374	
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aug		First Named Inventor	Yuu ISHII		
	(use as many sheets as necessary)	Art Unit	2874		
		Examiner Name	Unassigned		
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U.S. PATENT DOCUMENTS							
Examiner	Cite No.1	Document Number		Publication Date			
Initials*		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 2002/0136508	A1	09-26-2002	CORNING INCORPORATED		
or		US					
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FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		EP	0 577 259	A1	05-19-1993	NORTHERN TELECOM LTD.	
		EP	1 056 170	A2	11-29-2000	KYOCERA CORPORATION	
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.